03-12-04

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

+

		Application Number	09/843,159				
		Filing Date	April 25, 2001				
TRANSMITTAL FORM		First Named Inventor	LUO, YING				
		Group Art Unit	1652				
(to be used for all correspondence after ini	tial filing)	Examiner Name	RAO, MANJUNATH N.				
Total Number of Pages in This Submissi	on 14 + Exbts	Attorney Docket Number	RIGL-010CIP2				
ENCLOSURES (check all that apply)							
Fee Transmittal Form  Fee Attached  Drawin  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Assigning (for an Drawin  Petition  Petition  Provision  Provision  Power  Change Address		ing-related Papers  n to Convert to a conal Application of Attorney, Revocation e of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter  Other Enclosure(s) (please identify below):  1. Exhibits A-D				
Documents  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	l —	st for Refund umber of CD(s	1. Exhibits A-D 2. Postcard				
SIGNA	TURE OF APPL	ICANT, ATTORNEY, OR	RAGENT				
Signing Attorney/Agent (Reg. No.)  Signature  JAMES S. KEDDIE, PH.D., 48,920 BOZIC EVIC. FIELD & FRANCIS LLP  Date  March 10, 2004							
EXPRESS MAIL LABEL NO EV333007040US							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**EXPRESS MAIL LABEL NO. EV333997940US** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Onder	ule Papelv	VUIN NEU	JUCTION ACCOUNTS	o, no persons are rec	uneu io i	espoilu	io a Wil	COUCH	or amorridation times	so it displays a vi	AND CIVID C	one of number.
FEE TRANSMITTAL			L	Complete if Known								
LEE LEGINOMIIIIAL			A	Application Number			09/843,159	09/843,159				
for EV 2004			F				April 25, 200	April 25, 2001				
for FY 2004			_				LUO, YING					
Effective 10/01/2003. Patent fees are subject to annual revision.				Examiner Name RAO, MANJUNATH N.								
✓ Applicant claims small entity status. See 37 CFR 1.27				Art Unit 1652								
			Δ	ttorne	/ Docke	t No	RIGL-010CIF	22				
			<del></del>		, DOORE							
METHOD OF PAYMENT (check all that apply)			+-				E CALCULATIO	(continued) אכ				
Check	☐ Cred	lit Card	☐ Money [ Order	Other None	3. A	DDITIO	ONAL F	EES				
☑ Deposit Account:												
Deposit A												,
Accour	1	0-0815			Large	Entity	Small E	ntity				
Numbe Deposi				_	Fee	Fee	Fee	Fee				Fee Paid
Accour		ozicevic	, Field & Francis	LLP	Code 1051	* (\$) 130	Code 2051	(\$) 65	Fee Descrip Surcharge – late filir			
Name The Director	is authoriz	ed to: (c	check all that app	[v)					<u>-</u>	•		
			v 🛛 Credit any		1052	50	2052		Surcharge – late pro cover sheet	ovisional filing fee	or	
☑ Charge A	ny Additiona	al Fee(s)	) Required under	37 C.F.R. 1.17.	1053	130	1053		Non-English specific			
Charge fe			v, except for the	filing fee	1812	2,520	1812		For filing a request f	•	nination	ļ
the above			ALCULATION		1804	920*	1804		Requesting publicati Examination action			
	FILING FE Entity Smal				1805	1,8401	1805		Requesting publicat Examiner action	ion of SIR after		~_
Fee	Fee Fee	Fee 1	Fee Description	Fee Paid	1251	110	2251	55	Extension for reply v	vithin first month		
	( <b>\$)</b> Code 770 2001		Jtility filing fee		1252	420	2252		Extension for reply v	vithin second mon	ith	
	340 2002		Design filing fee		1253	950	2253		Extension for reply v			
1	530 2003		Plant filing fee		1254	1,480	2254		Extension for reply v		ו	
1	770 2004		Reissue filing fee		1255	2,010	2255	-	Extension for reply v	vithin fifth month		<u> </u>
1005	160 2005	180 P	Provisional filing fee	•	1401 1402	330 330	2401 2402		Notice of Appeal Filing a brief in supp	ort of an anneal		
			CUDTOTAL #		1402	290	2402		Request for oral hea			
			SUBTOTAL (1	,	1451	1,510	1451		Petition to institute a	-	eding	
2. EXTRA	CLAIM FE	ES FC	OR UTILITY AN	D REISSUE	1452	110	2452		Petition to revive - u	•		
	F	ctra Clair	Fee from ms below	Fee Pald	1453	1,330	2453	665	Petition to revive – u	ınintentional		
Total Claims	-20*		X	=	1501	1,330	2501	665	Utility issue fee (or r	eissue)		
Indep.	-3**		x	=	1502	480	2502	240	Design issue fee			<b></b>
Claims	-				1503	640	2503	320	Plant issue fee			
Multiple Depe				=	1406	130	1460		Petitions to the Com			<b></b>
Large Entity Fee Fee	Small Entit		Fee Descri	ntion	1807	50	1807		Processing fee unde			
Code (\$)	Code (\$)		· ee Desch	puvii	1806	180	1806		Submission of Inform			<b></b>
1202 18 1201 86	2202 9 2201 43		Claims in excess o ndependent claims		8021	40	8021		Recording each pate property (times num		er	
1203 290	2203 145	5 N	Aultiple dependent	claim, if not paid	1809	770	2809		Filing a submission ( (37 CFR § 1.129(a))		1	
1204 86	2204 43		Reissue independ over original pate	nt	1810	770	2810		For each additional examined (37 CFR §			
1205 18	2205 9	••	Reissue claims in and over origina		1801	770	2801		Request for Continu		RCE)	
		9	SUBTOTAL (2)		1802	900	1802		Request for expedite of a design applicati			
**or number previously paid, if greater, For Reissues, see above.			Other	fee (spe	r ecify)		Terminal Disclaimer		d)	55		
·R				*Redu	uced by I	Basic Fili	ng Fee I	Paid	SUBTOTAL (3	3) (\$)	55	
SUBMITTED BY					Complete (if app	licable)						
Name (Print/	Туре)	James	S. Kęddie, Ph.D.	(1)		ation No ey/Agent		48,92		Telephone	(650) 833-7	7723
Signatura		7	1 Mes	SIAN	2							
Signature		1	OUV /	V (J	-5					Date	03/10/200	4

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is fequired by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, a peparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**DATE: March 10, 2004** 

## **SUDPPSPEEEV3**

THE EXPRESS MAIL NUMBER OF THE DAY IS: EV333997940US Be person taking the Express Mail to the Post Office is: Stuart McLeish

**EXPRESS MAIL CERTIFICATION** 

05 MM

Date of Deposit: 03/10/2004 I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Sign

nature Man/////	Date
-----------------	------

Atty. Docket Serial No. Number		Description	Atty.	Fee	
RIGL-010CIP2	09/843,159	Transmittal, Fee Transmittal in duplicate, Amendment, Terminal Disclaimer, Exhibits A-D	JSK	\$55	
YAMA-009	10/759,788	Transmittal, IDS, SB08A	SCT		
IRVN-009CON	10/661,105	Transmittal, IDS, SB08A	CLF		